

UNILEVER ACTION PLAN FOR COCOA FOREST INITIATIVE MARCH 2019

Forest Protection and Restoration Commitments			
CdI - Framework Language	Company Actions	Target Indicators	UL
1. There will be no further conversion of any forest land (as defined under national regulations and using methodologies such as High Carbon Stock (HCS) and High Conservation Value (HCV) approach) for cocoa production.	1.1 Conduct farm mapping within direct supply chain to identify and collect cocoa farm boundaries polygon data to ensure cocoa is not being sourced from forest lands, National Parks and Reserves, and Classified Forests	# and % of farms mapped	16000
	1.2 Conduct deforestation risk assessments in all direct sourcing areas	# hectares included in deforestation risk assessment	38000
2. Signatory companies and Government commit to the elimination of all cocoa production and sourcing in National Parks and Reserves in line with the promulgation and enforcement of the prevailing national forest policy and the development of alternative livelihoods for affected farmers. A plan of action will be put in place by end-2018 to ensure fulfilment of this commitment.	2.1 A system will be adopted and published for excluding farmers in the direct supply chain with cocoa production in protected areas		YES
	2.2 All farms found in National Parks and Reserves will be reported to the Ministry of Water and Forests	% of farms in National Parks and Reserves reported to government	TBD
	2.3 Support cocoa farmers' transition to alternative livelihoods via technical	# and % of total farmers in protected areas receiving	TBD
3. Signatory companies commit to no sourcing of cocoa from National Parks and Reserves through their traceable direct sourcing programs, including farmer organizations and cooperatives, by 1 January 2018. A plan of action will be put in place by January 2018 to ensure fulfilment of this commitment.	3.1 Implement traceability tools/technology to ensure no cocoa purchases originate from National Parks or Reserves (all forest areas)	% of direct sourced cocoa is traceable to farm-level	100% of direct source farms
4. A differentiated approach based on the level of degradation of forests for the current Classified Forests will be developed by Government and translated into a national forest restoration strategy, by end-2018. The characteristics, the number of hectares, timeline, roles and responsibilities will be progressively specified in the land use plans.	4.1 Support the restoration of Classified Forests by working with cocoa farmers and partnering with SODEFOR and the forestry industry to implement contracts for mixed agroforestry as a restoration and livelihoods intervention	# farmer 'agroforestry restoration' contracts signed	TBD
		# hectares restored in Classified Forests	TBD
5. A legal protection and management status will be given by Government to the remaining forests of Côte d'Ivoire in the Rural Zone, in particular to the sacred forests by 30 June 2018.	5.1 Cooperate with Ministry of Water and Forests on enforcement to prevent deforestation in the legally protected forest estate (rural domain)	# hectares of forest in rural domain protected	TBD dependent on the discussion on Gvt policy towards forest protection in our supply chain

Forest Protection and Restoration Actions			
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6. Up-to-date maps on forest cover and land-use for the different forests, and socio-economic data on cocoa farmers and their communities who depend on these forests, will be developed and publicly disclosed by Government by 31 December 2018, and detailed operational guidelines by 30 June 2019, with input as relevant from the private sector, civil society organizations, and other stakeholders.	6.1 Support the government's forthcoming adaptive management plans for different forest areas to benefit the livelihoods of forest-dependent cocoa communities	We allow in-country partners to engage on these issues/areas	YES
	6.2 Participate in the development and operation of land-use and land-use planning at national and regional levels by sharing existing land use maps with government		YES
7. Public enforcement of the new Forest Code and its subsequent guidelines, and public sector governance will be strengthened, including, inter alia, awareness raising campaigns, capacity building of forest institutions, stronger surveillance and monitoring, and application of immediate sanctions for any new infringement, as of the date of promulgation of the new Forest Code but no later than June 2018.	7.1 Promote and participate in awareness-raising campaigns to educate farmers on the new Forest Code	# farmers reached at awareness events	12000
	7.2 Update farmer engagement materials and training with the revised Forest Code	YES	YES
8. Public-private collaboration to mobilize more technical and financial resources for forest protection and restoration, including, inter alia, support for innovative financial mechanisms (the appropriate financing mechanisms will be defined in the operational document) to assure Payment of Environmental Services, alternative livelihoods for cocoa farmers affected by land-use changes, implementation of the current government contractualization approach, and development of mixed agro-forestry systems.	8.1 Mobilize finance for forest protection and restoration such as: new financing mechanisms (to be specified), contribution to conservation funds, development of payments for environmental services (PES) schemes	Amount of \$ mobilized towards forest protection and restoration	TBD
		# of hectares with forest protection and restoration financing	2600
		# of farmers participating in PES contracts	100
9. Public-private collaboration to identify: good practices, technical guidance and incentive mechanisms for forest restoration, and mixed agro-forestry systems. The Government is developing management models for sustainable agro-forestry development, in consultation with all stakeholders.	9.1 Support distribution and planting of multi-purpose trees for on-farm restoration via mixed agroforestry	# of multi-purpose trees distributed for on-farm planting	104,000
		# hectares cocoa agroforestry developed	1,250
	9.2 Support distribution and planting of native trees for off-farm restoration (reforestation)	# native trees planted off-farm	TBD
		# hectares of forest area restored	TBD
10. The Government, in collaboration with all stakeholders, will create a public-private fund to support the long-term financing of the protection and restoration of high conservation value forest areas. All stakeholders will be encouraged to support this fund.	10.1 Support the creation of the public-private forest conservation and rehabilitation fund	\$ contributed to fund	TBD

Sustainable Production and Livelihoods Commitments (to Joint Actions)			
CdI - Framework Language	Company Actions	Target Indicators	UL
11. Promote investment in long-term productivity of cocoa in environmentally suitable areas in order to grow "more cocoa on less land," through provision of optimal planting materials, training in good agricultural practices, crop nutrition and soil fertility, crop protection, and development and capacity building of farmers' organizations, are critical enabling factors for sustainable agriculture development.	11.1 Distribute improved cocoa planting material	# improved seedlings distributed to farmers	TBD
	11.2 Establish and/or provide cocoa nurseries with improved cocoa planting material	# of nurseries with improved cocoa seedlings	21
	11.3 Train farmers and producer organizations in the latest Good Agriculture Practices (GAPs)	# of farmers trained in GAPs	12000
	11.4 Support cocoa farm rehabilitation	# of hectares of cocoa rehabilitated	TBD
12. Promote sustainable livelihoods and income diversification for cocoa farmers, including through food crop diversification, agricultural inter-cropping, development of mixed agro-forestry systems and shade-grown cocoa, and other income generating activities designed to boost and diversify household income.	12.1 Promote farm-level crop diversification	# and % of farmers applying crop diversification	6800 (%TBD)
	<i>See Company Action 9.1</i>	# of multi-purpose trees distributed for on-farm planting	104000
		# hectares cocoa agroforestry developed	1250
13. Promote financial inclusion and innovation to deepen farmers' access to working capital and investment funds required for production and farm renovation	13.1 Promote expansion of farmer savings	# and % of farmers in supply chain with a savings account	4000
		# and % of farmers participating in VSLA groups	1800
	13.2 Offer financial products to farmers	# and % of farmers offered a financial product	3800
14. Improve supply chain mapping, with the goal of 100% of cocoa sourcing traceable from farm to first purchase point. The private sector, in collaboration with the Government, will develop an action plan for traceability with clear timelines, which will be implemented step-by-step to achieve full traceability and verification, applicable to all by end-2019. Signatory companies are putting in place verifiable monitoring systems for traceability from farm to the first purchase point, operational policies and control systems that effectively monitor the associated risks, including high quality verification.	<i>See Company Action 1.1</i>	# and % farms mapped within direct supply chain	16000
	14.2 Implement traceability system to farm level in direct supply chain	% cocoa supply traceable from individual farms to first purchase point	TBD

Community Engagement and Social Inclusion Commitments

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15. Full and effective information sharing, consultation, and informed participation of cocoa farmers and their communities who are affected by proposed land-use changes under the Framework.	15.1 Organize cocoa community consultations on the implementation of the Frameworks for Action	28 300
16. Promote community-based management models for forest protection and restoration, including engagement of local communities and farmers in awareness raising campaigns on the status of National Parks and Reserves, and Classified Forests and the critical role that forest plays in climate regulation, soil fertility and water circulation.	16.1 Establish and/or support community-based natural resource management programs for forest restoration/protection	10
		10
17. Development of action plans for forest protection and restoration, and sustainable agricultural intensification that are gender and youth sensitive.	17.1 Develop forest protection & restoration and agriculture intensification action plans that are youth and gender sensitive	28
		28
18. For National Parks and Reserves, and Classified Forests, Government will assess and mitigate the social impacts and risks of proposed land-use changes on affected farmers and their communities, and ensure provision of alternative livelihoods and restoration of standard of living of affected parties, in line with reasonable standards to be agreed upon.		
19. Government will prepare operational guidelines covering resettlement and livelihood restoration of affected parties by 31 December 2018, with input as relevant from private sector, civil society organizations, and other stakeholders, in line with reasonable standards to be agreed upon.	19.1 Support the development of operational guidelines on resettlement and restoring living standards	